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## FACSIMILE COVER SHEET

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| To Examiner: TBD               | Total Pages Sent: 4                 |
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| Facsimile Number: 703-872-9306 | Transmission Date: February 4, 2004 |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|  |                        |
|--|------------------------|
| Applicant: Tu                            | Docket No.: TSM03-0498 |
| Serial No: 10/729,084                    | Art Unit: TBD          |
| Date Filed: December 5, 2003             |                        |
| TITLE: Method of Forming a PIP Capacitor |                        |

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being transmitted by facsimile to the U.S. Patent and Trademark Office at on the date shown above:

- Certification of Facsimile Transmission (1 page)
- Supplemental Declaration Transmittal (1 page)
- Supplemental Declaration for Utility Patent Application (2 pages)

Respectfully submitted,

  
 Dusty Dudley  
 Legal Assistant

Confirmation Respectfully Requested

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tu Attorney Docket: TSM03-0498  
Filed: December 5, 2003 Examiner: TBD  
Serial No.: 10/729,084 Art Unit: TBD  
For: Method of Forming a PIP Capacitor

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Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

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SUPPLEMENTAL DECLARATION TRANSMITTAL

Dear Sir:

Attached hereto is a Supplemental Declaration for Utility Patent Application, signed by the Applicant on January 30, 2004. The attached is submitted because minor changes were made to the specification between the date the Applicant signed the Declaration and Power of Attorney, November 19, 2003, and the date the application was filed, December 5, 2003. Thus, the Supplemental Declaration is submitted as the Applicant's acknowledgement of those changes.

Respectfully submitted,



Kay Houston  
Attorney for Applicant  
Reg. No. 38,495

February 4, 2004

Date

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PTO/SB/04 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**SUPPLEMENTAL  
DECLARATION FOR UTILITY  
OR DESIGN  
PATENT APPLICATION  
(37 CFR 1.67)**

|                          |            |
|--------------------------|------------|
| Attorney Docket Number   | TSM03-0498 |
| First Named Inventor     | Tu         |
| <b>COMPLETE IF KNOWN</b> |            |
| Application Number       | 10/729,084 |
| Filing Date              | 12/5/2003  |
| Art Unit                 | TBD        |
| Examiner Name            | TBD        |

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which claimed and for which a patent is sought on the invention entitled:

Method of Forming a PIP Capacitor

(Title of the Invention)

the specification of which

 is attached hereto

OR

 was filed on (MM/DD/YYYY) December 5, 2003 as United States Application Number or PCT International

Application Number

10/729,084

and was amended on (MM/DD/YYYY)

N/A

I hereby declare that the subject matter of the  attached amendment  amendment filed on  was part of the invention and was invented before the filing date of the original application, above identified for such invention.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 366(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or of any PCT International application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? YES | Certified Copy Attached? NO |
|-------------------------------------|---------|----------------------------------|--------------------------|------------------------------|-----------------------------|
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/>     | <input type="checkbox"/>    |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/>     | <input type="checkbox"/>    |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/>     | <input type="checkbox"/>    |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/>     | <input type="checkbox"/>    |

 Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**SUPPLEMENTAL DECLARATION – UTILITY OR DESIGN PATENT APPLICATION**Direct all correspondence to:  Customer Number 25962 OR  Correspondence address belowName  
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Texas ZIP 75252-6793Country  
USA Telephone  
972-732-1001 Fax  
972-732-9218

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:  A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any]) Kuo-Chi Family Name  
or Surname TuInventor's  
Signature Kuo-Chi Tu Date  
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Taiwan Country  
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Hsin-Chu State  
Taiwan ZIP  
300 Country  
R.O.C.Name of Second Inventor:  A petition has been filed for this unsigned inventorGiven Name  
(first and middle [if any]) N/A Family Name  
or Surname N/AInventor's  
Signature Date

Residence: City State Country Citizenship

Mailing Address

City State ZIP Country

 Additional inventors or a legal representative are being named on the 0 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.

[Page 2 of 2]